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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/975,587

Jacobs et al.

October 11, 2001

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Application Number

First Named Inventor

Filing Date

Art Unit 2155 Examiner Name Alicia Baturay						
ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Extension of Time Request Terminal Disclaimer After Allowance Communication to To (Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):						
ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) Licensing-related Papers Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition (Appeal Notice, Brief, Reply Brief) Provisional Application Proprietary Information Affidavits/declaration(s) Extension of Time Request Terminal Disclaimer After Allowance Communication to To (Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):						
Fee Transmittal Form Drawing(s)						
Express Abandonment Request Information Disclosure Statement CD, Number of CD(s) Landscape Table on CD Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name Flies Ar Meyer LLP Customer No. 23910						
Signature						
Printed name Joseph P. O'Malley						
Date January 3, 2005 Reg. No. 36,226						
CERTIFICATE OF TRANSMISSION/MAILING						
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application

Inventor:

Jacobs, et al.

Appln. No.:

09/975,587

Confirm. No.:

8688

Filed:

October 11, 2001

Title: LAYERED ARCHITECTURE FOR DATA

REPLICATION

PATENT APPLICATION

Art Unit:

2155

Examiner:

(Signature)

Alicia Baturay

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 3, 2006.

Teri Muir

Signature Date: January 3, 2006

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.

Copies of cited U.S. patents and publications are not enclosed. However, copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as required, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).

If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

PTA Statement under 37 C.F.R. §1.704(d). Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

This statement should be considered because:

- ___ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
 - (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
 -- OR --
 - (2) It is being filed within 3 months of entry of a national stage; -- OR --
 - (3) It is being filed before the mailing date of the first Office Action on the merits,
 - (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- **Y** 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
 - (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
 - -- AND (check at least one of the following) --
 - ___ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).

 -- OR --
 - (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).
- ___ 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:
 - (1) It is being filed on or before payment of the Issue Fee;
 -- AND --
 - (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e); -- AND --
 - (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

Fee Authorization. The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER MEYER LLP

Date: January 3, 2006

FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800

Examiner Initial	Document Number	Publication Date	Country	Cla	ss Subclass	Trans- lation Yes No
		FOREIGN PAT	TENT DOCUMENTS			
2003/00656		A1	04/03/03	Callahan et al.		
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	0,077,111 B2	04/03/03	Sharma et al.	/14	13	03/20/01
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	5,796,934	08/18/98	Bhanot et al.	395	182.02	05/31/90
Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Dat
		U.S.	PATENTS			v
(Use several sneets ty necessary)			Filing/Issue Date October 11, 2001	October 11, 2001 2155		
105 200 B		Applicant/Patent Owner Jacobs et al.				
Form PTO-1449 (Substitute) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)			BEAS-01077US3	09/	975,587	
			Attorney Docket Number	Seria	/Patent Number	

Examiner

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

*1 = Copy not submitted because it was submitted in prior application SN / , filed _ , 20 _ , relied on under 35 USC §120.
*2 = Copy not submitted because it was submitted in prior application SN _/ , filed _ , 20 _ , relied on under 35 USC §120.

conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered